Major holdings notification pursuant to Sec. 130 to 134 BörseG 2018

LONDON, 28.6.2021

Overview

☐ **Notification made after deadline**

Caution: In case of violations of major holdings notification rules, please pay attention to Section 137 BörseG 2018 (Suspension of voting rights)

1. Issuer: Erste Group Bank AG
2. Reason for the notification: Acquisition or disposal of voting rights
3. Person subject to notification obligation **Name:** BlackRock, Inc. **City:** Wilmington **Country:** U.S.A.
4. Name of shareholder(s):
5. Date on which the threshold was crossed or reached: 25.6.2021

6. Total positions

	% of voting rights attached to **shares** (7.A)	% of voting rights through **financial/other instruments** (7.B.1 + 7.B.2)	**Total** of both in % (7.A + 7.B)	Total number of voting rights of issuer
Resulting situation on the date on which threshold was crossed / reached	4,02 %	0,09 %	4,12 %	429 800 000
Position of previous notification (if applicable)	3,99 %	0,15 %	4,15 %	

Details

7. Notified details of the resulting situation:

A: Voting rights attached to shares				
ISIN Code	Number of voting rights		% of voting rights	
	Direct (Sec 130 BörseG 2018)	Indirect (Sec 133 BörseG 2018)	Direct (Sec 130 BörseG 2018)	Indirect (Sec 133 BörseG 2018)
AT0000652011		17 298 234		4,02 %
SUBTOTAL A	17 298 234		4,02 %	

B 1: Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 1 BörseG 2018				
Type of instrument	Expiration Date	Exercise Period	Number of voting rights that may be acquired if the instrument is exercised	% of voting rights
Securities Lent	N/A	N/A	310 962	0,07 %
		SUBTOTAL B.1	310 962	0,07 %

B 2: Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 2 BörseG 2018					
Type of instrument	Expiration Date	Exercise Period	Physical / Cash Settlement	Number of voting rights	% of voting rights
CFD	N/A	N/A	Cash	78 292	0,02 %
			SUBTOTAL B.2	78 292	0,02 %

8. Information in relation to the person subject to the notification obligation:

☐ Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer.

☒ Full chain of controlled undertakings through which the voting rights and/or the financial/other instruments are effectively held starting with the ultimate controlling natural person or legal entity:

No.	**Name**	Directly controlled by No.	**Shares** held directly (%)	**Financial/other instruments** held directly (%)	**Total** of both (%)
1	BlackRock, Inc.				
2	BlackRock Holdco 2, Inc.	1			
3	BlackRock Financial Management, Inc.	2			
4	BlackRock International Holdings, Inc.	3			
5	BR Jersey International Holdings L.P.	4			
6	BlackRock Australia Holdco Pty. Ltd.	5			
7	BlackRock Investment Management (Australia) Limited	6			
8	Trident Merger, LLC	1			
9	BlackRock Investment Management, LLC	8			
10	BlackRock (Singapore) Holdco Pte. Ltd.	5			
11	BlackRock HK Holdco Limited	10			
12	BlackRock Asset Management North Asia Limited	11			
13	BlackRock Lux Finco S.à r.l.	11			
14	BlackRock Japan Holdings GK	13			
15	BlackRock Japan Co., Ltd.	14			
16	BlackRock (Singapore) Limited	10			
17	BlackRock Holdco 3, LLC	5			
18	BlackRock Cayman 1 LP	17			
19	BlackRock Cayman West Bay Finco	18			

	Limited				
20	BlackRock Cayman West Bay IV Limited	19			
21	BlackRock Group Limited	20			
22	BlackRock Finance Europe Limited	21			
23	BlackRock Investment Management (UK) Limited	22			
24	BlackRock International Limited	21			
25	BlackRock (Netherlands) B.V.	22			
26	BlackRock Asset Management Deutschland AG	25			
27	BlackRock Advisors (UK) Limited	22			
28	BlackRock Capital Holdings, Inc.	3			
29	BlackRock Advisors, LLC	28			
30	BlackRock Canada Holdings LP	17			
31	BlackRock Canada Holdings ULC	30			
32	BlackRock Asset Management Canada Limited	31			
33	BlackRock Holdco 4, LLC	3			
34	BlackRock Holdco 6, LLC	33			
35	BlackRock Delaware Holdings Inc.	34			
36	BlackRock Fund Advisors	35			
37	BlackRock Institutional Trust Company, National Association	35			
38	Amethyst Intermediate LLC	9			
39	Aperio Holdings LLC	38			
40	Aperio Group, LLC	39			

9. In case of proxy voting

Date of general meeting: -

Voting rights after general meeting: - is equivalent to - voting rights.

10. Sonstige Kommentare:

The disclosure obligation arose due to voting rights attached to shares for BlackRock, Inc. going above 4%.

LONDON am 28.6.2021